THE COMPETITION TRIBUNAL

PERFORMANCE INFORMATION REPORT

QUARTER 1

1st APRIL 2018 - 31st MARCH 2019

Reviewed for submission by :

Sibongile Moshoeshoe (Acting Registrar)

Signature: ?.

Date: 08/08/2018

Janeen de Klerk (Chief Operating Officer)

Reviewed and verified for approval by:

Signature:

Date: 8108/8/8

Norman Manoim (Chairperson)

Approved by

Signature:

Date: 8/8/2018

STRATEGIC FOCUS AREA 1 - 1 APRIL 2018 - 31st MARCH 2019

STRATEGIC FOCIES AREA 1.	ADDA 4.	אם וויסוס אדונים בעספון בעוכם	TENOR						
				CURRENT BUDGET	R 30,083,459.00		R 7,883,522.00	R 30,083,459.00	KEASON FOR DEVIATIONS
				ACTUAL EXPENDITURE	R 6 653,452.87		R 6 653 452.87	R 6 651,452.87	We have slightly underspent on this line item and expect the expenditure to become closer to budget over time
GOAL STATEMENT									
STRATEGIC OUTCOME					TO ENSURE EFFECTIVE AND EFFICENT ADJUDICATION ON MATTERS BROUGHT BEFORE THE TRIBUNAL	I NO NOITACIOU ON I	MATTERS BROUGHT BEFO	RE THE TRIBUNAL	THE REAL PROPERTY.
STRATEGIC	STRATEGIC OBJECTIVE	OUTCOME	PERFORMANCE INDICATORS	ANNUAL TARGET	PRIOR YEAR ANNUAL PERFORMANCE	TARGET	PERFORMANCE	ANNUAL PERFORMANCE	EXPLANATIONS FOR DEVIATIONS
002.60	O A I I MINI					01	Qt	YEAR TO DATE	EAFEANALIONS FOR DESIALIONS
			% of large margers to be set down for the beginning of a hearing or a pre-hearing within	80%	71.80%	80%	W23	W2B	Target exceeded by 2%. The settlown is dependent on the availability of the parties and there are
CASE MANAGEMENT EFFICIENCY	Matters brought before the Tribunal are heard within the adopted dislivary time frames	Hearings are set down within required time frames		W.W.	Albon	80%	5	5	instances where parties may not find the dates given by the Tribunal suitable.
			% of intermediate and small merger considerations to be set down for the beginning of a tearing or a pre-heuring within 10 business days of the fining of the partner for propositional tears.	%OT	70%	70%	3	940	1 out of 1 matter was setdown late due to internal administrative oversight
			% of large merger orders issued to parties within 10 business	9696	100.00%	85	100%	100%	Target exceeded by 5%. The target is not set at 100% as we have to allow some leeway for delays in
	Interpretation of		% of large merger reasons issued to parties within 20 business days of order being issued	80%	90.91%	80%	60%	**68	5 out of 17 reasons issued fate. Reasons for the delay vary - four were quite complex with voluminous record and large economic evidence to consider. The other was late as the member writing was sitting on other matters.
TIMEOUS ISSUING OF JUDGEMENTS	judgements/decisions in line with	Expeditious conclusion of matters	% of intermediate and small merger consideration orders issued to parties within 10 business days of lest hearing date	80%	75.00%	80%	100%	96,001	Target exceeded by 20% Target not set at 100% as some case are more complex and require more time to consider.
			% of informediate and small merger consideration reasons issued to parties within 20 business days of order being issued	8/08	100.00%	80%	0%	940	Reasons issued in 1 matter and late by 157 days because it was a complex matter, however the order was given in the requisite time period and there was no indication that either party intended to appeal the order.
							A STATE OF STREET STREET, STRE		

and selfement agreements is semantic or construction of the selfement agreement agreem	% of interim relief reasons issued to parties within 20 business days of test hearing dare	DA SA	EFFECTIVE BUSINESS APPLICATIONS EFFECTIVE and functionality of business applications. Enhancing record keeping, performance and caseflow management by harnessing facility and functionality of business applications. Enhancing record keeping, performance and caseflow management by harnessing facility and functionality of business applications. CMS deemed to be sustainable chief reasons insuring date are several to be sustainable and access to historical data access to historical data required.
95%	100%	CMS assessed of sustainability implementability implementability to less than 5 y	CMS assessed to determine period of sustainability. Action plan implemented if sustainability limited to less than 5 years f any Plan and/or implement enhancements approved by ITSC enhancements.
	84 62% No reasons issued	No reasons issued. No reasons issued to be sustainable as by IT Administra	
	100%	Annu prograd	
THE REAL PROPERTY.	94% No reasons issued	No reasons issued No reasons issued The current sustainability is limited to the current version of the CMS ending in the first quarter of 2022. We are untaking an upgrade to the latest version available, and if there are no issues with current system following the upgrade the sustainability should be extended. Réported on in quarterly report	No reasons issued No reasons issued The current sustainability is limited to less than 5 years due to support for the current version of the CMS ending in the first quarter of 2022. We are untaking an upgrade to the latest version available, and if there are no lessues with current system following the upgrade the sustainability should be extended. Reported on in quarterly report implemented for the Case Maragement Division (Case Maragement Division (Case Maragement Envisor CMS). Reported on in the quarterly report
	S4%,	The current su years due to su CMS ending if urtisking an available and system follow should be ext	No ressons issued The current sustainability is limited to less than 5 years due to support for the current western of the CMS ending in the first quarter of 2002. We are untaking an upgrade to the latest version wailable, and if there are no issues with current system following the upgrade the sustainability should be extended. Reported on in quarterly report New major enchancement implemented for the Case Management Division, (Case Manager tab within the CMS), Reported on in the quarterly report
	Target not met. Order issued late in 1 out 17 matters as prasiding member was traveling. Target cannot be measured as no reasons were issued.		

STRATEGIC FOCUS AREA 2 - 1 APRIL 2018 - 31st MARCH 2019

STRATEGIC FOCUS AREA 2:	12:	STAKEHOLDER RELATIONSHIPS	TIONSHIPS	A STATE OF THE STA	The second second		QUARTER 1	YEAR TO DATE	REASON FOR DEVIATIONS
				CURRENT BUDGET	R 1,189,402.38		R 283,681.69	R 1,189,402.38	
				ACTUAL EXPENDITURE	R 277,365.86		R 277,365.86	R 277,365.86	We have slightly underspent on this line item and expect the expenditure to become closer to budget
GOAL STATEMENT									
STRATEGIC OUTCOME				101	TO BUILD AND DEVELOP EFFECTIVE STAKEHOLDER RELATIONSHIPS	E STAKEHOLDER RELAT	IONSHIPS		
STRATEGIC OBJECTIVE	STRATEGIC OBJECTIVE	OUTCOME	PERFORMANCE	ANNUAL TARGET	PRIOR YEAR ANNUAL	TARGET	PERFORMANCE	ANNUAL	EXPLANATIONS FOR DEVIATIONS
The second secon	STATEMENT		INDICATORS		PERFORMANCE	01	ō	YEAR TO DATE	EXPERIENCE FOR DEVIA HONO
the state of the s									
	Ensure that an integrated communication plan is developed and implemented	A structured and focussed process to create and enhance awareness of the work of the Tribunal	E-newsletter developed and placed on website	Service provider sourced to develop e-newsletter that is fully implemented and available on website	New target in 20182019	Annual target but progress reported on quarterly	Service provider appointed and work in progress	Service provider appointed and work in progress	Target partially achieved - annual not a quarterly target
			Communication framework reviewed annually and quarterly communication report on strategy and media coverage presented to EXCO	Communication strategy and media coverage reported quarterly	Framework revised and changes were made but still need to be implemented and framework approved	Annually review framework and report quarterly on communication strategy and med a coverage	Review in progress. Strategy reported in quarterly report	Review in progress. Strategy reported in quarterly report	Target partially achieved - annual not a quarterly target
STAKEHOLDER AWARENES	Ensure communication pertaining to final decisions in	Timely and compliant	% of press releases of final merger decisions communicated within 2 business days of order date	95%	100%	75%	95%	95%	Target met
	cases are made public within adopted delivery timeframes	adjudication outcomes	% of press releases of final prohibited practice decisions communicated within 2 business days of order date	90%	%03	%00 1	No decisions issed in prohibited practice cases and therefore no press release	No decisions issued in prohibted practice cases and therefore no press release	No prohibited practice decisions were issued for this quarter, so no press releases were issued.
	3 Identify and address stakeholder needs and expectations in order to meet or exceed requirements	Level of stakeholder satisfaction	Stakeholder satisfaction survey results	Satisfaction survey is conducted by March 2019 and satisfaction levels targeted at 75%	New target in 2018/2019	Annual larget Progress reported on quarterly in quarterly report	Annual not a quarterly target	Annual not a quarterly target target	Annual not quarterly target

STRATEGIC FOCUS AREA 3 - 1 APRIL 2018 - 31st MARCH 2019

STRATEGIC FOCUS AREA 3:	Ş	ACCOUNTABLE, TRAN	ACCOUNTABLE, TRANSPARENT AND SUSTAIN	NABLE ENTITY			QUARTER 1	YEAR TO DATE	REASON FOR DEVIATIONS
THE PERSON NAMED IN			- NATIONAL OF	CURRENT BUDGET	R 10,802,521.37		R 2,422,197.04	R 10,802,521.37	
				ACTUAL EXPENDITURE	R 1,762,641.44		R 1,762,641.44	R 1.762,641.44	We have underspent on this line item but expect to get closer to budget once we receive invoices for the external audit and internal audit progresses
GOAL STATEMENT		TO ENSURE THE	TO ENSURE THE TRIBINAL HAS EFFECT	IVE STRATEGIC I EADERSHID	ADMINISTRATION AND	D MANACEMENT 1			
STRATEGIC OUTCOME				THE STATE OF THE PARTY OF THE P					GOVERNANCE AND SOUND BUSINESS PRACTICE.
STRATEGIC OBJECTIVE	STRATEGIC OBJECTIVE	OUTCOME	PERFORMANCE	ANNUAL TARGET	PRIOR YEAR ANNUAL	TARGET	PERFORMANCE	ANNUAL PERFORMANCE	EXPLANATIONS FOR DEVIATIONS
					i Liu Okumente	Q1	Q1	YEAR TO DATE	
GOOD GOVERNANCE	Increase the level of compliance with the prescripts of good governance	Accountable and transparent Public Entity	Achieve an unqualified audit outcome year on year	Unqualified audit – no issues of governance raised	Unqualified audit achieved for 2016/2017 - no issues of governance raised	Annual target set for second quarter	Interim audik report indicates we will receive a clean audik - no issues of governance raised	Interim audit report indicates we will receive a clean audit - no issues of governance raised	No final audit report so cannot comment on deviations as yet
EFFECTIVE OVERSIGHT STRUCTURES	Maintain effective oversight structures that promote solid business practice	Sound Business Practice	Achieve an unqualified audit outcome year on year	Unqualified audit — no issues of governance raised	Unqualified audit achieved for 2016/2017 - no issues of governance raised	Annual target set for second quarter	Interim audiit report indicates we will receive a clean audit - no issues of governance raised	Interim audii report indicates we will receive a clean audii - no issues of governance raised	No final audit report so cannot comment on deviations as yet
EFFECTIVE MANAGEMENT OF THE BUDGET	Ensure financial management that promotes effective and efficient use of resources	Optimal financial resource allocation and utilisation	Achieve an unqualified audit	Unqualified audit-no findings of fruitless /wasteful expenditure	Unqualified audit achieved for 2015/2017 - no findings of fruitless/wasteful expenditure	Annualitarget set for second quarter	Interim audit report indicates we will receive a clean audit - no issues of fruffess wasteful expenditure raised	Interim audit report indicates we will receive a clean audit - no issues of fruitless/wasteful expenditure raised	No final audit report so cannot comment on deviations as yet
		Compliance to requirements	No material misstatements for May submission	No material misstatement on May submission	No material misstatements in May submission	Annual target set for second quarter	Interim audit indicates that there are no material misstatements	Interim audit indicates that there are no material misstatements	No final audit report so cannot comment on deviations as yet
FINANCIAL GOVERNANCE AND REPORTING	Ensure a sound control environment and monitor and maintain compliance and ensure that all reporting requirements are met	transparent institution	Submission against annual deadline	Annual reporting submission dates met May and July	Annual reporting submission dates for May and July met	Annual target set for second quarter	May deadline met. July target in next quarter	May deadline met. July target in next quarter	No final audit report so cannot comment on deviations as yet
		Integrated risk management processes and combined assurance	Achieve an unqualified audit outcome year on year	Unqualified audit – no issues of risk management raised	Unqualified audit achieved for 2016/2017 - no issues of risk management raised	Annual target set for second quarter	Interim audit report indicates we will receive a clean audit - no issues of risk management raised	Interim audiit report indicates we will receive a clean audii - no issues of risk management raised	No final audit report so cannot comment on deviations as yet
SUSTAINABLE CAPACITY	Ensure that the Tribunal effectively leverages employee skills by recruiting, retaining and developing high quality people	Strengthen the Tribunat's organisational capacity and performance to deliver on its legislative mandate	Implementation of case management graduate internships against plan	Graduate internship targets meet plan requirements.	2 LT interns appointed for the period 1st January 2017 to 31st December 2017 4 vacation interns in July 2017	Graduale internship targets meet plan requirements	3 LT interns appointed for period. Vacation internships to reported on in second quarter	3 LT interns appointed for period. 2 vacation interns started in the Tribunal in June	Target partially achieved